



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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FEB 19 2002

1048

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/025,707	12/26/2001	Jerome Michael Harris	A01200A

21898
ROHM AND HAAS COMPANY
PATENT DEPARTMENT
100 INDEPENDENCE MALL WEST
PHILADELPHIA, PA 19106-2399

CONFIRMATION NO. 7520

FORMALITIES LETTER



OC00000007367372

Date Mailed: 01/25/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

COPY OF PAPERS
ORIGINALLY FILED

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

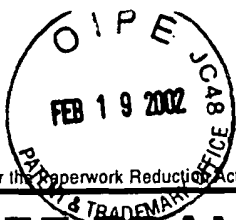
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

Complete if Known

Application Number	
Filing Date	12/26/01
First Named Inventor	Jerome Michael Harris
Examiner Name	
Group Art Unit	1755
Attorney Docket No.	A01200A

**COPY OF PAPERS
ORIGINALLY FILED****TOTAL AMOUNT OF PAYMENT** (\$) 130**METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **18-1850**
Deposit Account Name **Rohm and Haas Company**

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$) 0**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
independent	-20** =	X	
Claims	-3* =	X	
Multiple Dependent			

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.1 7(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					
* Reduced by Basic Filing Fee Paid					
SUBTOTAL (3) (\$) 130					

SUBMITTED BY

Name (Print/Type)	James G. Vouras	Registration No. (Attorney/Agent)	34,453	Telephone	(215) 592-2564
Signature	<i>James G. Vouras</i>	Date	2/1/02		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



4

Patent
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DN: A01200A
GDG/am

In re application of:
Jerome Michael Harris et al

**COPY OF PAPERS
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Serial No: 10/025,707 : Group Art Unit: 1755

Filed: December 26, 2001 : Examiner: Not Yet Assigned

For: Method of Improving Viscosity Stability Upon
Addition of an Aqueous Tinting Composition

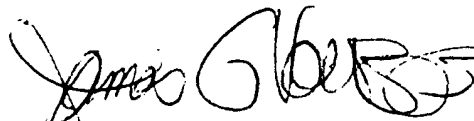
Assistant Commissioner of Patents
Application Processing Division
Customer Correction Branch
Washington, DC 20231

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Applicant's respectfully submit that the filing receipt in the present case is incorrect. A copy of the filing receipt with the corrections marked in red ink is enclosed. Please make the requested changes and issue a corrected filing receipt.

Respectfully submitted,


James G. Vouros
Attorney for Applicants
Registration No. 34,453
Telephone No. 215-592-2564

Rohm and Haas Company
100 Independence Mall West
Philadelphia, PA 19106-2399
February 1, 2002



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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/025,707	02/26/2002	1755	1150	A01200A		10	2

CONFIRMATION NO. 7520

21898
ROHM AND HAAS COMPANY
PATENT DEPARTMENT
100 INDEPENDENCE MALL WEST
PHILADELPHIA, PA 19106-2399

FILING RECEIPT



OC000000007367371

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Date Mailed: 01/25/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jerome Michael Harris, Residence Not Provided;
Henry Jude Eichman, Residence Not Provided;
Homayoun Jamasbi, Residence Not Provided;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/271,992 02/28/2001
AND CLAIMS BENEFIT OF 60/271,997 02/28/2001

Foreign Applications

If Required, Foreign Filing License Granted 01/24/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

RECEIVED

JAN 31 2002

PATENT DEPARTMENT

Title

Method of improving viscosity stability upon addition of a colorant component using dispersing resins

in aqueous emulsion
composition

Preliminary Class



RECEIPT
[Signature]

PATENT
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DN: A01200A
GDG/am

In re application of:
Jerome Michael Harris et al

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Serial No: 10/025,707 : Group Art Unit: 1755

Filed: December 26, 2001 : Examiner: Not Yet Assigned

For: Method of Improving Viscosity Stability Upon
Addition of an Aqueous Tinting Composition

Assistant Commissioner of Patents
Application Processing Division
Customer Correction Branch
Washington, DC 20231

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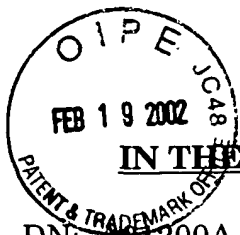
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February 1, 2002
Date

[Signature]
Signature



DN: A01200A
GDG/am

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
Jerome Michael Harris et al

Serial No: 10/025,707 : Group Art Unit: 1755

Filed: December 26, 2001 : Examiner: Not Yet Assigned

For: Method of Improving Viscosity Stability Upon
Addition of an Aqueous Tinting Composition

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Honorable Commission of Patents and Trademarks
Washington, DC 20231

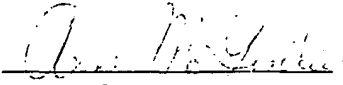
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Notice to File Missing Parts of Nonprovisional Application
Declaration
Fee Transmittal (in duplicate)
Certificate of Mailing
Return Receipt Postcard

February 1, 2002
Date


Signature



**COPY OF PAPERS
ORIGINALLY FILED**

PATENT APPLICATION

of

JEROME MICHAEL HARRIS

HENRY JUDE EICHMAN

and

HOMAYOUN JAMASBI

for

**METHOD OF IMPROVING VISCOSITY STABILITY UPON ADDITION
OF AN AQUEOUS TINTING COMPOSITION**